ONEGROUP WEALTH PARTNERS

Form ADV Part 2B – Brochure Supplement

for

Joseph H. Littky Managing Director

Effective: November 5, 2021

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Joseph H. Littky (CRD# 2597427) in addition to the information contained in the OneGroup Wealth Partners, Inc ("OWP" or the "Advisor", CRD# 306971) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the OWP Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (561) 472-2312.

Additional information about Mr. Littky is available on the SEC's Investment Adviser Public Disclosure website at <u>www.adviserinfo.sec.gov</u> by searching with his full name or his Individual CRD# 2597427.

OneGroup Wealth Partners, Inc 5790 Widewaters Parkway Syracuse, NY 13214 Phone: (561) 472-2312 * Fax: (561) 273-7699 www.onegroupwealthpartners.com

Item 2 – Educational Background and Business Experience

Joseph H. Littky, born in 1970, is dedicated to advising Clients of OWP as the Managing Director. Mr. Littky earned a Bachelor of Arts from University Of Arizona in 1994. Additional information regarding Mr. Littky's employment history is included below.

Employment History:

Managing Director, OneGroup Wealth Partners Inc	04/2020 to Present
Chief Compliance Officer, OneGroup Wealth Partners Inc	04/2020 to 02/2021
Managing Director and Chief Operating Officer, OneGroup Wealth Partners,	02/2020 to 04/2020
Consultant, Community Bank	12/2019 to 02/2020
Partner, Global Trust Asset Management, LLC	08/2016 to 11/2019
Director, Capital Guardian Wealth Management LLC, DBA Alpern Financial Services	05/2009 to 08/2016

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Littky. Mr. Littky has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Littky.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. *As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Littky.*

However, we do encourage you to independently view the background of Mr. Littky on the Investment Adviser Public Disclosure website at <u>www.adviserinfo.sec.gov</u> by searching with his full name or his Individual CRD# 2597427.

Item 4 – Other Business Activities

Global Medical Response

Mr. Littky is an Emergency Medical Technician with Global Medical Response. He spends approximatley 10 hours of his time per month in this capacity.

Palm Beach County Sherrif's Office.

Mr. Littky is a Reserve Deputy Sherrif with the Palm Beach Count Sherrif's office. He spends approximatley 24 hours of his time per month in this capacity.

Beach City Basketball

Mr. Littky is a board member of Beach City Basketball. He spends approximately 2 hours of his time per month in this capacity.

Palm Beach State College

Mr. Littky is an adjunct instructor at Palm Beach State College. He spends approximately 8 hours of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Littky has additional business activities where compensation is received that are detailed in Item 4 above.

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Item 6 – Supervision

Mr. Littky serves as the Managing Director of OWP and is supervised by David Coon. Mr. Coon can be reached at (561) 472-2312.

OWP has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of OWP. Further, OWP is subject to regulatory oversight by various agencies. These agencies require registration by OWP and its Supervised Persons. As a registered entity, OWP is subject to examinations by regulators, which may be announced or unannounced. OWP is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Item 7 – Requirements for State Registered Advisors

A. Arbitrations and Regulatory Proceedings

State regulations require disclosure if any Supervised Person of the Advisor is subject to:

- 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a. an investment or an investment-related business or activity;
 - b. fraud, false statement(s), or omissions;
 - c. theft, embezzlement, or other wrongful taking of property;
 - d. bribery, forgery, counterfeiting, or extortion; or
 - e. dishonest, unfair, or unethical practices.
- 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a. an investment or an investment-related business or activity;
 - b. fraud, false statement(s), or omissions;
 - c. theft, embezzlement, or other wrongful taking of property;
 - d. bribery, forgery, counterfeiting, or extortion; or
 - e. dishonest, unfair, or unethical practices.

Mr. Littky does not have any disclosures to make regarding this Item.

B. Bankruptcy

If a Supervised Person has been the subject of a bankruptcy petition, that fact and the details must be disclosed. Mr. Littky does not have any disclosures to make regarding this Item.