



**Nottingham**  
**WEALTH PARTNERS**

## **Form ADV Part 2B – Brochure Supplement**

**for**

**Joseph D. Polsinelli**  
**Financial Advisor**

**14 Plaza Dr. Suite 100**  
**Latham, NY, 12110**  
**518-384-1779**

[www.nottinghamwealthpartners.com](http://www.nottinghamwealthpartners.com)

**Effective: January 27, 2026**

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Joseph D. Polsinelli (CRD# 2098985) in addition to the information contained in the Nottingham Wealth Partners, Inc. (“NWP” or the “Advisor”, CRD# 306971) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the NWP Disclosure Brochure or this Brochure Supplement, please contact us at (561) 472-2312.

Additional information about Mr. Polsinelli is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 2098985.

## **Item 2 – Educational Background and Business Experience**

---

Joseph D. Polsinelli, born in 1966, is dedicated to advising Clients of NWP as a Financial Advisor. Mr. Polsinelli earned a Bachelor's of Science from Nazareth College of Rochester in 1988. Additional information regarding Mr. Polsinelli's employment history is included below.

### **Employment History:**

Financial Advisor, Nottingham Wealth Partners, Inc. (fka OneGroup Wealth Partners, Inc.)	09/2023 to Present
Registered Representative, LPL Financial Corporation	09/2023 to Present
Financial Advisor, Empire State Asset Management, LLC	02/2001 to 01/2024
Trustee, Trustee for Family Member's Irrevocable Trust	01/2015 to Present
Registered Representative, Cetera Financial Specialists LLC	05/2018 to 08/2023
Registered Investment Adviser, Cetera Investment Advisors LLC	05/2018 to 08/2023
Registered Representative, LPL Financial LLC	02/2018 to 05/2018

## **Item 3 – Disciplinary Information**

---

**There are no legal, civil or disciplinary events to disclose regarding Mr. Polsinelli.** Mr. Polsinelli has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Polsinelli.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. **As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Polsinelli.**

However, we do encourage you to independently view the background of Mr. Polsinelli on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD# 2098985.

## **Item 4 – Other Business Activities**

---

- A. **Registered Representative of LPL Financial Corporation.** Mr. Polsinelli is a registered representative of LPL Financial Corporation ("LPL"), an SEC registered and FINRA member broker-dealer. Clients may choose to engage Mr. Polsinelli in his/her individual capacity as a registered representative of LPL, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Mr. Polsinelli that a client purchase a securities commission product presents a **conflict of interest**, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any commission products from Mr. Polsinelli. Clients are reminded that they may purchase investment products recommended by Mr. Polsinelli through other, non-affiliated broker dealers. **NWP's Chief Compliance Officer, Anthony Van Ore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
  2. **Commissions.** In the event the client chooses to purchase investment products through LPL, brokerage commissions will be charged by LPL to effect securities transactions, a portion of which commissions shall be paid by LPL to Mr. Polsinelli. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Polsinelli is separate and apart from NWP's investment management services discussed in NWP's *Brochure*.

B. **Licensed Insurance Agent**. Mr. Polsinelli, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Polsinelli to purchase insurance products on a commission basis. **Conflict of Interest**: The recommendation by Mr. Polsinelli that a client purchase an insurance commission product presents a ***conflict of interest***, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Polsinelli. Clients are reminded that they may purchase insurance products recommended by Mr. Polsinelli through other, non-affiliated insurance agents. **NWP's Chief Compliance Officer, Anthony Van Ore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

## **Item 5 – Additional Compensation**

Mr. Polsinelli has additional business activities where compensation is received that are detailed in Item 4 above.

## **Item 6 – Supervision**

---

Mr. Polsinelli serves as a Financial Advisor of NWP and is supervised by Anthony Van Ore, the Chief Compliance Officer. Mr. Van Ore can be reached at (561) 472-2312.

NWP has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of NWP. Further, NWP is subject to regulatory oversight by various agencies. These agencies require registration by NWP and its Supervised Persons. As a registered entity, NWP is subject to examinations by regulators, which may be announced or unannounced. NWP is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.